Non-Blocking Checkpointing for Optimistic Parallel Simulation: Description and an Implementation*†

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Abstract

This paper describes a *non-blocking checkpointing* mode in support of optimistic parallel discrete event simulation. This mode allows real concurrency in the execution of state saving and other simulation specific operations (e.g. event list update, event execution), with the aim at removing the cost of recording state information from the completion time of the parallel simulation application. We present an implementation of a C library supporting non-blocking checkpointing on a myrinet based cluster, which demonstrates the practical viability of this checkpointing mode on standard off-the-shelf hardware. By the results of an empirical study on classical parameterized synthetic benchmarks we show that, except for the case of minimal state granularity applications, non-blocking checkpointing allows improvement of the speed of the parallel execution, as compared to commonly adopted, optimized checkpointing methods based on the classical blocking mode. A performance study for the case of a Personal Communication System (PCS) simulation is additionally reported to point out the benefits from non-blocking checkpointing for a real world application.

Index-Terms: Parallel Discrete-Event Simulation, Optimistic Synchronization, Checkpointing, Myrinet, DMA, Performance Optimization.

1 Introduction

Parallel discrete event simulation [15] is a well known technique for studying the behavior of complex systems. It is based on partitioning the simulation model into a set of Logical Processes (LPs), which

*This article appeared in IEEE Transactions on Parallel and Distributed Systems, Vol.14, No.6, June 2003. An earlier version by the same authors, with title "Semi-Asynchronous Checkpointing for Optimistic Simulation on a Myrinet Based NOW", appeared in Proceedings of the *15th ACM/IEEE/SCS Workshop on Parallel and Distributed Simulation (PADS'01)*, pp.56-63, May 2001.

†The "User's Guide" of the software presented in this article, namely the CCL library, is available at http://www.dis.uniroma1.it/PADS/software/CCL/ccl.shtml. The software can be obtained by sending an email message to quaglia@dis.uniroma1.it or to santoro@dis.uniroma1.it.

simulate distinct parts of the system under investigation. The scheduling of simulation events among distinct LPs takes place through the exchange of event notification messages carrying the content and the occurrence time (timestamp) of the events. In order to ensure correct simulation results, synchronization mechanisms are used to maintain timestamp ordered execution of simulation events at each LP.

Optimistic mechanisms [19] allow each LP to execute events whenever they are available, thus performing no preventive verification on whether the execution itself meets the correctness criterion. On the other hand, if a timestamp order violation is detected, a rollback procedure recovers the LP state to a previous correct value. This is done by exploiting records of state information, namely *checkpoints*, taken during the parallel execution. By their nature, these mechanisms allow strong exploitation of parallelism as demonstrated by large speedups, achieved against classical sequential execution, for simulations of personal communication systems [9, 10], queuing networks [14], logic circuits [6], and aviation control systems [42], among others.

In the optimistic simulation context, the checkpointing protocol is a memory to memory data copy typically charged on the CPU. The copy is executed in blocking mode in the sense that any other simulation activity to be carried out by the CPU is suspended while checkpointing is in-progress. In this paper we present *non-blocking checkpointing* in support of optimistic parallel simulation. Operating under this checkpointing mode, memory to memory data copies associated with checkpointing are performed in real concurrency with the execution of other simulation specific operations carried out by the CPU (e.g. event list update, event execution and so on), with obvious positive effects on the impact of the checkpointing cost on the completion time of the parallel simulation execution. We also present the implementation of a C library capable to support non-blocking checkpointing on a cluster of PCs interconnected through a high speed myrinet switch, a hardware platform recognized as a commercial standard for parallel computing applications.

Non-blocking checkpointing has been widely explored in the context of fault tolerance [12, 23, 29], with the meaning that the application is allowed to proceed while process state information is being transferred onto stable storage, e.g. a network file server. However, to our knowledge, it has never been explored in the context of optimistic simulation, where records of LP state information must be maintained into volatile memory buffers in the address space of the simulation application for fast access during state recovery. (Rollback is an endemic phenomenon in optimistic simulation, thus it might occur frequently. Therefore, fast access to checkpointed state information is mandatory in order to keep state recovery costs at acceptable levels.) The innovation of our approach resides therefore in CPU offloaded, efficient data copy between volatile memory buffers to perform checkpointing.

We report a performance study of non-blocking checkpointing organized in two parts. In the first part we use a classical parameterized simulation benchmark, namely PHOLD [16], in several different configurations, to demonstrate the potential of non-blocking checkpointing in a variety of simulation settings. The results in this part show that non-blocking checkpointing can improve the execution speed of the simulation application, up to about 15%, as compared to methods based on blocking (i.e. CPU

charged) checkpointing, with the larger gains achieved for medium/large size of the LP state vector, case in which checkpointing really becomes a performance critical task. In the second part, we report performance data for a case study on a real world simulation application, namely a Personal Communication System (PCS) simulation.

The remainder of this paper is organized as follows. Section 2 provides a background on optimistic simulation, with special focus on the checkpointing problem. The description of non-blocking checkpointing is reported in Section 3. The C library supporting non-blocking checkpointing on myrinet clusters is presented in Section 4. The results of the experimental study with the PHOLD benchmark and the PCS simulation model are reported in Section 5 and in Section 6, respectively.

2 Background

2.1 Optimistic Parallel Simulation

In parallel simulation, each LP has its own notion of simulation time, namely *Local Virtual Time* (LVT). LPs interact with each other by exchanging messages and any message exchange represents the scheduling of an event for the recipient LP. Each message carries the content of the scheduled event and the event timestamp, which represents the simulation time for the occurrence of that event at the recipient LP. Any event execution moves the LVT of the LP to the event timestamp, possibly moves the LP from one state to another (i.e. possibly updates the value of some or all the variables maintained into the LP state vector), and possibly schedules new events to be executed by any LP. Timestamp ordered execution of simulation events at each LP is a sufficient condition for the correctness of simulation results [11, 15], therefore synchronization mechanisms among the LPs must be employed in order to guarantee such a correctness criterion.

In the optimistic approach to synchronization [19], events are stored by the LP into an event list that is logically partitioned into *future-event-list* and *past-event-list*. The future-event-list stores events not yet executed, while the past-event-list records already executed events. Each LP is allowed to execute events in the future-event-list, according to increasing values of their timestamps, unless this list is empty. Timestamp order violations might arise since an LP may receive a message carrying an event with timestamp lower than its LVT. If a timestamp order violation is detected, all the events that were executed out of timestamp order are rolled back (they are moved from the past-event-list to the future-event-list). Upon a rollback, the LP state vector is recovered to its value prior to the timestamp order violation and the LVT of the LP is pushed back to the timestamp of the last event executed in correct order. Also, the effects resulting from the execution of the events that are rolled back and involving other LPs must be undone. This is achieved by sending an *antimessage* for each scheduled event sent out during the rolled back portion of the simulation ([1]). Upon the receipt of an antimessage associated with an already executed event, the recipient LP rolls back as well. If the event has not yet been executed,

[1] An antimessage is an exact copy of the corresponding message, except for a single bit value.

the antimessage has the only effect to "annihilate" the event ([2]). After rolling back, the LP resumes execution of events in its future-event-list.

A relevant concept to optimistic synchronization is *Global Virtual Time* (GVT), which is defined as the smallest timestamp among those of (i) unexecuted events already inserted into the LP event lists, (ii) events being executed, (iii) messages/antimessages in transit. Since no LP can ever rollback to simulation time preceding GVT [19], the GVT value indicates the commitment horizon of the simulation. It is used both to execute actions that cannot be subject to rollback, such as displaying of intermediate simulation results, and also for recovering memory. Specifically, events with timestamp lower than GVT will never need to be re-executed after a rollback, therefore they can be discarded by the past-event-lists of the LPs. The same happens to obsolete state information, if any, maintained to support state recovery. The action of recovering memory after GVT calculation is typically referred to as *fossil collection*.

2.2 Checkpointing

State recovery has been traditionally supported through records of information related to the LP state vector known as *checkpoints*, and a number of incremental and non-incremental checkpointing methods have been proposed to keep low the combined overhead of checkpointing and state recovery itself. Incremental methods maintain records of before-images of the state variables modified during event execution ([3]) so that state recovery can be accomplished by re-traversing the records and copying before-images into their original locations within the state vector [3, 39, 40]. Non-incremental methods record the whole LP state vector at given points in simulation time, i.e. after the execution of specific simulation events [13, 25, 30]. In case the state to be recovered has been checkpointed, state recovery consists of reloading the corresponding checkpoint into the LP state buffer. Instead, state recovery of an uncheckpointed state vector is accomplished by reloading the latest checkpoint preceding that state and re-updating state variables by replaying intermediate events [4, 25]. The re-update phase is known as *coasting forward*.

Given that checkpointing is a memory to memory data copy charged on the CPU, any other activity inherent to the simulation execution is blocked while records of state information are being taken. As a consequence the cost of taking the checkpoint results as pure overhead.

For incremental methods the overhead is acceptable when small fractions of the LP state vector are updated by the event execution [3, 7, 36, 39, 40, 41], since few before-images of the state variables must be recorded during event execution. However, even in this case, incremental methods need the rollback distance (i.e. the amount of events rolled back by a single rollback occurrence) to be sufficiently small to provide adequate performance ([4]).

[2]Communication channels between LPs are not required to be FIFO, therefore an antimessage may be delivered before the corresponding message arrives. In this case, the antimessage is simply placed into a set of pending antimessages. Then, upon the receipt of the corresponding message, both of them are annihilated.

[3]A "before-image" is the value of a variable maintained into the LP state vector before it was modified by the execution of an event.

[4]Short rollback distance leads to low state recovery cost due to limited time required to re-traverse and copy back the

Non-incremental methods keep low the checkpointing overhead by avoiding to record the LP state vector after the execution of each simulation event. However, they produce an increase in the expected state recovery cost due to the fact that coasting forward (i.e. event execution replay) is required in case rollback to an uncheckpointed state vector occurs. A number of strategies have been presented to optimize the tradeoff between the costs of checkpointing and state recovery. Most of them [13, 25, 30, 35, 37] are based on taking checkpoints periodically, each χ event executions, so that the strategy itself is aimed at (adaptively) selecting the best suited value for the parameter χ, called *checkpoint interval*. Some more recent strategies [31, 33] attempt to further optimize that tradeoff by relaxing the constraint that checkpoints should be taken on a periodic basis.

In this paper our focus is on non-incremental methods and our target is to reduce the overhead for taking any single checkpoint, achieved by offloading memory to memory data copy associated with checkpointing from the CPU. To our knowledge there have been two attempts into the direction of reducing the cost, in terms of CPU time, of any single checkpoint. The first one [17] employs special purpose hardware, namely the rollback chip, to perform checkpointing. As opposed to this solution, what we propose does not rely on the use of special purpose hardware. The second one [32] uses CPU instructions performed during the execution of an event as a part of the checkpointing protocol, thus reducing the total number of checkpointing instructions to be executed by the CPU. The effectiveness of this approach depends on the structure of the event code and on the extent to which instructions within the event code can be used as part of the checkpointing protocol. Furthermore, unless compiler supports are used, there is a reduction of transparency to the application programmer. Both previous problems are avoided with non-blocking checkpointing.

3 Non-Blocking Checkpointing

In this section we provide the description of non-blocking checkpointing. We pass through the presentation of the system model we assume, the identification of basic requirements for the effectiveness of the non-blocking mode, the recognition of data consistency and hardware contention issues, and then the presentation of a notion of re-synchronization as a solution for tackling these issues. Finally, some remarks end the section.

3.1 System Model

We schematize data structures maintained in the address space of the simulation application as partitioned into:

State Buffers (SB). This partition contains the buffers storing the state vectors of the LPs hosted by the machine. Denoting with LP_j the j-th LP on the machine, we use the notation sb_j to indicate the buffer within **SB** associated with LP_j.

incremental records of the state information.

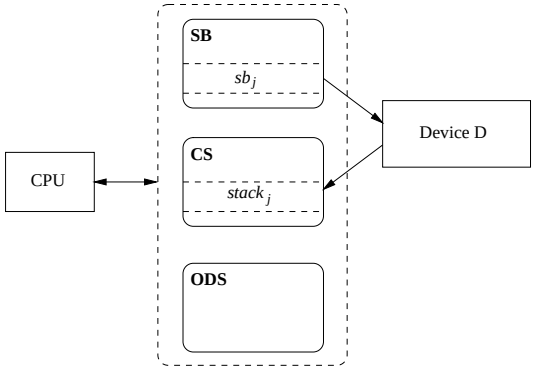


Figure 1: Partitions Accessed by the CPU and the Device D.

Checkpoint Stacks (CS). This partition contains all the stacks of checkpoints associated with the LPs hosted by the machine. We use the notation $stack_j$ to indicate the stack within **CS** associated with LP_j ([5]).

Other Data Structures (ODS). This partition contains all the remaining data structures kept by the simulator, such as the event lists of the LPs hosted by the machine.

Non-blocking checkpointing lies on the idea of real concurrency in the execution of checkpointing and other simulation specific operations carried out by the CPU. To achieve this, the checkpointing protocol must be charged on a device distinct from the CPU. We assume the presence of such a device, denoted as D, which has the ability to copy data from **SB** to **CS**.

As shown in Figure 1, the simulation code executed by the CPU accesses all the three partitions **SB**, **CS** and **ODS**. For example, it copies data from $stack_j$ to sb_j (i.e. from **CS** to **SB**) while executing a state recovery procedure in case of rollback of LP_j, or accesses **ODS** while updating the event lists of the LPs. Instead, D accesses exclusively the two partitions **SB** and **CS**, copying data from **SB** to **CS** (i.e. from sb_j to $stack_j$).

We do not assume D as a special purpose device, therefore it might perform a set of other user/system level tasks. For simplicity, we assume that D can handle at most one data copy associated with checkpointing at a time, therefore no LP is allowed to issue a checkpoint request to D until a previously issued request (by whichever LP) is still being handled.

3.2 Effectiveness Requirements

As already explained, the objective of using the device D to perform data copy associated with checkpointing is to allow the CPU to carry out other simulation specific operations while checkpointing is in-progress. A basic requirement for the effectiveness of this approach is that, while copying data due to checkpointing, the device D produces in practice negligible interference on CPU activities. In other words,

[5]The term "checkpoint stack" is commonly used for referring to the set of buffers maintaining checkpoints of a given LP since, except during fossil collection, this set is managed according to the LIFO policy.

the execution speed of those activities must not suffer from the activation of checkpointing activities on the device D. We call this requirement *Device Decoupling*. If this requirement is not satisfied, charging the device D with the checkpointing protocol might slow down the execution speed of any application running on top of the system, including the simulation application itself, which might, in turn, eliminate any benefit from non-blocking checkpointing.

In addition, if D performs user/system level performance critical tasks, charging the device D with the checkpointing protocol should not produce significant interference on the execution of these tasks. We call this requirement *Non-Intrusiveness*. If this requirement is not satisfied, any application running on top of the system (including the simulation application itself), and exploiting the tasks performed by the device D, might exhibit unacceptable performance degradation.

3.3 Data Consistency and Hardware Contention Issues

Each entry into $stack_j$ maintains a snapshot of the state vector of LP_j at a given point in simulation time. Upon the execution of a simulation event, changes of the state vector can occur due to updates issued by the LP on state variables. A sufficient condition for the consistency of any snapshot maintained into $stack_j$ (i.e. the consistency of any checkpoint for LP_j) is that a complete copy of sb_j into $stack_j$ is performed before LP_j is scheduled for the execution of a new simulation event. When the data copy from sb_j to $stack_j$ is performed by D, LP_j might be scheduled for event execution while D is still copying the content of sb_j into $stack_j$ (due to a previously activated non-blocking checkpoint operation for LP_j). If no precaution is taken, the corresponding entry into $stack_j$ might result in an incorrect snapshot of the state vector of LP_j.

In addition, there exists the possibility that the simulation software run by the CPU needs to issue a checkpoint request to the device D while the last issued one is still being handled. The new request would originate hardware contention on the device D since, according to our system model, a single checkpoint request at a time can be handled by D.

Both data consistency and hardware contention issues are tackled through a notion of re-synchronization we shall present in the following section.

3.4 Re-Synchronization

A re-synchronization point consists in a set of actions, that we call *semantic* of re-synchronization, which must happen at both the CPU side and the side of the device D in order to allow data consistency to be maintained and to avoid hardware contention. Considering a classical optimistic simulation engine, like the one reported in Figure 2 ([6]), a first re-synchronization point must be located just after the LP scheduling operation in line 3. This is done in order to ensure data consistency by avoiding that the LP scheduled for event execution, namely *sched_LP*, issues updates on its state vector in case the same vector

[6]For sake of simplicity, GVT calculation and fossil collection are omitted.

```
1 while(not end)
2    <receive messages/antimessages and update event lists>;
3    sched_LP = <schedule next LP>;
4    if (rollback required for sched_LP)
5         <execute rollback for sched_LP>;
6    <event execution for sched_LP>;
7    <send event notification messages>;
8    if (checkpoint required for sched_LP)
9         <checkpoint for sched_LP>;
```

Figure 2: A Classical Optimistic Simulation Engine.

```
1 while(not end)
2    <receive messages/antimessages and update event lists>;
3    sched_LP = <schedule next LP>;
4    if (sched_LP issued the last non-blocking ckpt request) <re-synchronization>;
5    if (rollback required for sched_LP)
6         <execute rollback for sched_LP>;
7    <event execution for sched_LP>;
8    <send event notification messages>;
9    if (checkpoint required for sched_LP)
10        <re-synchronization>;
11        <issue a non-blocking checkpoint request for sched_LP>;
```

Figure 3: Modified Engine Embedding Non-Blocking Checkpointing.

is still being copied into the stack of checkpoints by the device D. Re-synchronization in this point of the engine must be activated only in case *sched_LP* issued the last non-blocking checkpoint operation to the device D. A second re-synchronization point must be located just before activating a checkpoint operation in line 9 in order to avoid hardware contention on the device D. Specifically, this re-synchronization point prevents issuing a new checkpoint request to the device D in case the last issued one is still being handled. Re-synchronization in this point must be activated only in case the test in line 8 results true, i.e. in case a checkpoint is required for *sched_LP*.

On the basis of previous considerations, the engine should be modified as shown in Figure 3. The re-synchronization points appear in line 4 and in line 10. Also, in line 11, the issue of a non-blocking checkpoint request replaces the classical blocking (CPU charged) execution of the checkpointing protocol (see line 9 of the original engine in Figure 2).

We establish a Conditional Checkpoint Abort ($\mathcal{CCA}$) semantic for re-synchronization, with the following behavior. Simulation activities carried out by the CPU are temporarily frozen, i.e. suspended, at a given re-synchronization point, only in case at least a threshold fraction of the last activated non-blocking checkpoint operation has already been carried out by the device D. (Freezing of CPU activities terminates with the completion of the checkpoint operation.) In the opposite case, the on-going checkpoint operation

is aborted, with no freezing at all of CPU activities.

In the instance of checkpoint abort, data consistency is maintained since, in case *sched_LP* is associated with a not yet completed non-blocking checkpoint operation, the operation itself is considered as it had never been activated. Therefore, updates issued by *sched_LP* on its state vector will never ultimately result in a non-consistent checkpoint since the situation looks like no checkpoint at all was ever scheduled for the state vector value prior to the updates. Analogously, no hardware contention ever occurs since an on-going checkpoint operation is interrupted (i.e. taken away from the device D) before any new checkpoint operation is activated.

On the other hand, in the instance of freezing of CPU activities, neither *sched_LP* is allowed to update its state vector while the vector itself is still being checkpointed, which maintains data consistency, nor it can issue a non-blocking checkpoint request until the last issued one is completely handled by the device D, which avoids hardware contention.

(Dynamically) controlling the threshold fraction value should allow the $\mathcal{CCA}$ re-synchronization semantic to achieve good tradeoffs between the checkpointing cost experienced at the application level in the form of freezing of CPU activities and the state recovery cost determined on the basis of the distance between consecutive committed checkpoints at the same LP.

3.5 Remarks

As pointed out in Section 2.2, skipping a checkpoint after the execution of some simulation events by completely avoiding to activate the checkpoint operation, is a classical solution for reducing the checkpointing overhead in case of non-incremental methods based on blocking (CPU charged) execution of the checkpointing protocol [4, 13, 25, 33, 35, 37]. We refer to this skipping approach as *a-priori*. On the other hand, the notion of checkpoint abort underlying $\mathcal{CCA}$ actually introduces a kind of *a-posteriori* checkpoint skipping, where "a-posteriori" means "after" the activation of the checkpoint operation itself. This is orthogonal to, and thus combinable with, a-priori checkpoint skipping. As respect to this point, the engine in Figure 3 exhibits a test in line 9 to verify whether a non-blocking checkpoint operation must be activated for the lastly scheduled LP, namely *sched_LP*. In the negative case, a-priori checkpoint skipping is performed independently of the fact that the execution mode of the checkpointing protocol is non-blocking. Insights on the effects of combining a-priori and a-posteriori checkpoint skipping will be provided in the experimental analysis reported in Section 5.4.

As a last observation, we recall that classical a-priori skipping of checkpoints has the advantage of keeping low the memory usage due to the reduced amount of recorded state information, which might exhibit benefits when memory is a critical resource. Given the possibility to adopt a-priori skipping in combination with the non-blocking execution mode of the checkpointing protocol, such an advantage can be maintained while using non-blocking checkpointing. Overall, non-blocking checkpointing exhibits the potential to reduce the cost of any single checkpoint operation while still allowing reduction of memory usage whenever needed.

4 An Implementation for Myrinet Clusters

This section describes the implementation of a C library capable to support non-blocking checkpointing on myrinet based clusters. This library exploits DMA capabilities proper of myrinet network hardware to support data copy associated with checkpointing, therefore, in our perspective, the device D coincides with a myrinet network card.

The library we present, which we will refer to as Checkpointing-and-Communication Library (CCL), is an integrated software offering both checkpointing and low latency message delivery functionalities. In this article our focus is on the presentation of checkpointing functionalities, however, given that CCL is an extension of a classical message passing layer for myrinet, a short overview of how message passing is implemented is mandatory for the comprehension of the extension. We will provide such an overview in Section 4.2, just after the description of the specific myrinet hardware CCL has been designed for. Then the extension to support non-blocking checkpointing is presented. As a last observation, we remark that CCL has been developed for LINUX, kernel version 2.0.32, assuming an underlying hardware architecture employing snooping-cache to maintain coherency between data in the cache memory and data read/written from/to main memory [21].

4.1 Hardware

CCL has been designed for the M2M-PCI32C myrinet card (see Figure 4.a), based on the LANai 4 chip [27], which is a programmable communication device consisting of:

(A) An internal bus, namely LBUS (Local BUS), clocked at twice the chip-clock speed.

(B) A programmable RISC processor connected to the LBUS, which we will refer to as LANai processor.

(C) A RAM bank of 1 Mbyte (LANai internal memory), connected to the LBUS, which is used for storing both data and the driver run by the LANai processor, typically called *control program*. This memory can be mapped into the memory address space of the host. Also, host access to the LANai internal memory takes place through a PCI bridge.

(D) A packet interface between the myrinet switch and the LANai chip, accessible by the LANai processor.

(E) Three DMA engines used respectively for: (i) packet-interface/internal-memory transfer operations (Receive DMA), (ii) internal-memory/packet-interface transfer operations (Send DMA), and (iii) internal-memory/host-memory (or vice-versa) transfer operations (EBUS DMA, namely External Bus DMA). Internal-memory/host-memory transfer (or vice-versa) also takes place through the PCI bridge.

The LANai processor cannot access host memory directly. Nonetheless, the control program run by the LANai processor can set the EBUS DMA to perform data transfer to/from that memory.

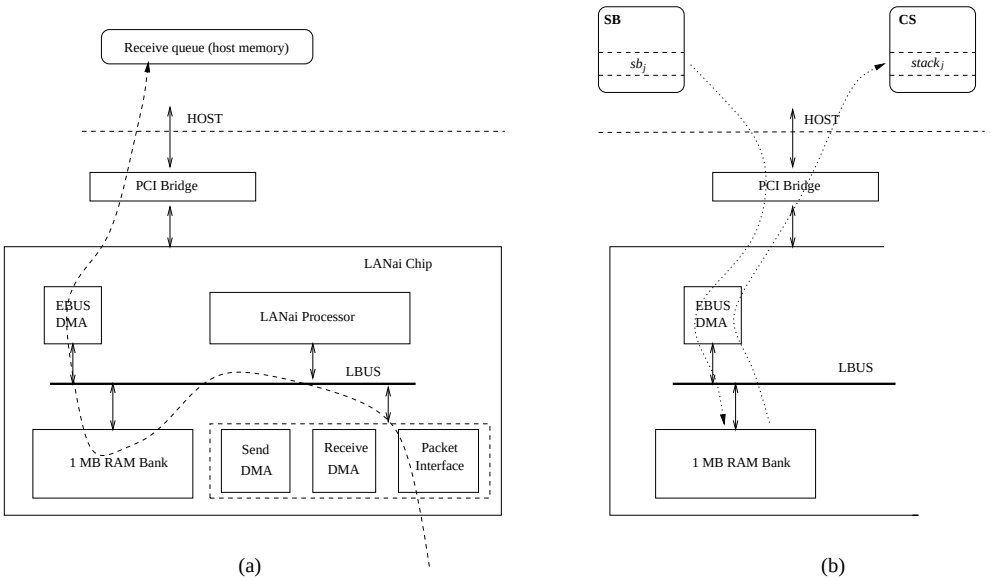


Figure 4: High Level Structure of the M2M-PCI32C Card (a) and Data Transfers Associated with Non-Blocking Checkpointing (b).

4.2 Communication Functionalities

As in the common choice to fast speed messaging layers for myrinet (see for example [28]), in CCL messages incoming from the network are temporarily buffered into the LANai internal memory (data transfer between the packet interface and the internal memory takes place through the Receive DMA) and then transferred into the receive queue, located onto host memory, through the EBUS DMA (see the directed dashed line in Figure 4.a). Given that the message is already in the host memory when performing a receive operation, this operation does not involve access to the PCI bridge, thus keeping at a minimum the overhead of any message receipt.

The implementation of the receive queue in host memory needs reserved main memory pages for DMA operations. Reservation of blocks of main memory pages takes place through the function `__get_free_pages()` internal to the kernel, activated through a kernel module that also notifies the main memory page addresses through the `/proc` file system. The function `communication_init()` included in the API maps the receive queue into the application address space through the `mmap()` system call on the `/dev/mem` special device file.

A classical optimization called "block-DMA" is used to transfer incoming messages from the LANai internal memory to the host memory. This optimization allows incoming messages stored in contiguous message slots of the LANai internal memory to be transferred into the receive queue using a single EBUS DMA operation.

Following another common design choice, any send operation issued by the application involves copying the message content directly into the LANai internal memory. This is also referred to as "zero-copy" send. Then the message is transferred onto the network through the Send DMA. This optimization allows

keeping the delivery latency at a minimum by avoiding additional buffering in host memory at the sender side.

The responsibility to program the three DMA engines anytime there is the need for a given data transfer operation pertains to the control program run by the LANai processor, whose structure will be presented in detail in Section 4.4. This program also handles an acknowledgment mechanism to support reliability of message delivery.

The following API communication functions are available at the application level. (i) `send_msg(int msg_type, int machine_id, void *msg)`, where `msg_type` defines the type of the message, `machine_id` defines the destination host for the message, and `msg` is a pointer to the memory area containing the data to be sent ([7]). This function passes to the network card the data to be transmitted by employing the zero-copy optimization previously described. (ii) `receive_msg(int msg_type, void *msg, int *machine_id)`, which returns a message of a given type, if any, in the memory area pointed by `msg`, and also the identifier of the sender host in the memory area pointed by `machine_id`. Since the receive queue is mapped into the application address space, this function copies the message content from the receive queue into the buffer pointed by `msg` through a simple `memcpy()` call.

Message delivery latencies offered by CCL for specific message sizes are aligned with those offered by other myrinet tailored message passing layers [28]. Information on delivery latency values for the specific message size used in the experimental analysis presented in this paper will be provided in Section 5.1.

4.3 Checkpointing Functionalities

In CCL, a non-blocking checkpoint operation for LP_j corresponds to a data copy from the state buffer sb_j to the stack of checkpoints $stack_j$, which is performed by the EBUS DMA. As shown by the directed dotted lines in Figure 4.b, the EBUS DMA uses the LANai internal memory as a temporary buffer. Temporary buffering is needed since, as already mentioned, the EBUS DMA does not support host memory to host memory data transfer directly. It only supports host memory to LANai internal memory transfer or vice versa. Anyway, conventional computer architectures (e.g. IA-32 [20, 21, 22]) are typically not equipped with hardware components, distinct from the CPU, able to perform host memory to host memory data copy, thus making the intermediate buffering approach the only feasible solution for the non-blocking checkpointing mode.

It would be possible to select other DMA engines, for example the video card DMA (AGP DMA) or the south bridge DMA, to support non-blocking checkpointing. Our choice went to the EBUS DMA on the myrinet card since operating system bypass mechanisms offered by the myrinet productor (Myricom [26]) allow us to develop the most part of the CCL software as an application level library ([8]). The only

[7] In the current implementation the maximum amount of bytes that can be sent via a single message is determined at compile time of CCL so that it can be tailored to requirements of any overlaying application.

[8] Since the LANai internal memory can be mapped into application address space, the application level can interact with the control program run by the LANai processor, i.e. the LANai driver, without passing through the operating system. Software for mapping the LANai memory is provided by Myricom.

exception is the usage of the previously mentioned kernel module (see Section 4.2).

The usage of alternative DMA engines might also introduce additional problems. For instance, the DMA of the south bridge, if any, would typically require a PCI device equipped with RAM storage, which is mandatory for efficient intermediate buffering ([9]). With respect to the AGP DMA, it is relatively unusual for video card vendors to provide technical specifications allowing one to rewrite the card driver to support additional functionalities.

On the other hand, using the EBUS DMA forces data transfer associated with checkpointing to make use of the PCI bus, which might give rise to slightly longer completion time for a checkpoint operation as compared to what would be achieved using a DMA engine working on a bus faster than PCI, for instance the AGP bus. In other words, the choice of the EBUS DMA represents a tradeoff between checkpointing latency and simplicity of implementation.

Using the EBUS DMA on the myrinet card to perform non-blocking checkpointing matches the Device Decoupling requirement identified in Section 3.2. Specifically, data transfer performed by the EBUS DMA involves access to the host main memory while the CPU works into the cache memory. In other words, there is no direct interference due to EBUS DMA data transfer on CPU activities. However, charging the EBUS DMA with data transfer for checkpointing could produce a form of indirect interference due to variations in the execution locality. Specifically, checkpoint stack entries are not referenced by the CPU while taking the checkpoint, therefore they are not loaded into the cache while performing the checkpoint operation. This might have both positive and negative effects. On the positive side, contention on cache entries is reduced while taking the checkpoint. On the negative side, the checkpoint stack entry is not available into the cache for future reference. However, even if CPU charged checkpointing has the effect of loading any referenced checkpoint stack entry into the cache while taking the checkpoint, the involved cache entries might get overwritten before a new reference to that checkpoint stack entry is made by the simulation program. Therefore, CPU charged checkpointing might not favor locality anyway.

Also, a second form of indirect interference due to EBUS DMA data transfer associated with checkpointing is related to the system bus traffic, caused by the two-way transfer of the state vector required for the intermediate buffering into the LANai internal memory, which could theoretically increase the latency of cache misses. However, as we will show in the experimental study in Section 5.3.3, non-blocking checkpointing is effective even for simulation software exhibiting unusually low locality of references, case in which the frequency of cache misses is expected to be non-minimal.

From the point of view of the simulation application, issuing a non-blocking checkpoint request consists in notifying to the LANai processor that the EBUS DMA must be programmed for the data transfer associated with checkpointing. This takes place through the API function `non_block_ckpt(int LP_id, time_type simulation_clock)`, where `LP_id` is the identifier of the LP whose state vector needs to be checkpointed, and `simulation_clock` is the value of the current simulation time of the LP ([10]). Actually,

[9] Intermediate buffering on secondary storage devices is infeasible since it would increase the latency of memory to memory data copies to levels unacceptable in the optimistic simulation context.

[10] `time_type` is a redefinition of `double`.

CCL manages the checkpoint stacks of the LPs in a totally transparent way to the application programmer, which is the reason why `LP_id` is a sufficient parameter to identify both the state buffer and the entry into the stack of checkpoints that must be involved in the data copy ([11]). Mapping state buffers and checkpoint stacks to LP identifiers is achieved through the initialization function `checkpoint_init(int num_LPs, int size)` included in the API, that assigns to each LP both a state buffer (of up to `size` bytes) and a checkpoint stack.

As for the receive queue, blocks of reserved main memory pages are used for both the state buffers of the LPs and their stacks of checkpointed state vectors since the EBUS DMA needs host main memory addresses to perform read/write operations. The previously mentioned kernel module (see Section 4.2) also reserves main memory pages for these blocks, which are then mapped into the simulation application address space (again through `mmap()` on `/dev/mem`) by the `checkpoint_init()` function. Each LP retrieves the virtual address of the assigned state buffer through the function `void *get_state_pointer(int LP_id)` also included in the API.

Given that common kernel programming approaches assign a different main memory region to each I/O device, no I/O device different from the myrinet card should access the memory pages reserved for LP state buffers and checkpoint stacks. Therefore, the CPU is the only other device that might modify the data being read/written by the EBUS DMA. As already explained in Section 3.4, this issue is tackled through re-synchronization.

The execution of the `non_block_ckpt()` function has the effect to communicate to the control program run by the LANai processor the physical addresses of the state buffer of the LP and of the entry into the checkpoint stack. This is achieved by writing this information into a proper buffer located in the LANai internal memory. Since the snooping cache protocol automatically maintains cache/main-memory coherency, no explicit cache flushing must be performed upon issuing a `non_block_ckpt()` call for the memory regions read/written by the EBUS DMA while performing checkpointing.

Transparent management of checkpoint stacks during a rollback phase is supported by the API function `reload_ckpt(int LP_id, time_type recovery_time)`, which reloads into the state buffer associated with `LP_id` the earliest checkpoint whose simulation time is less than or equal to `recovery_time`. Invocation of this function has also the effect of transparently pruning the stack by all the checkpoints, if any, with simulation time larger than `recovery_time`.

The API includes also the function `prune_ckpt_stack(int LP_id, time_type global_virtual_time)`, which allows transparent storage recovery of busy entries of the checkpoint stack associated with `LP_id` during a fossil collection phase. Execution of this function has the effect to prune the checkpoint stack associated with `LP_id` by all the checkpoints, except the earliest one, with simulation time less than or equal to `global_virtual_time`.

[11] LP identifiers must range between 0 and $n-1$, where n is the number of LPs hosted by the machine. Mapping into this range is required if a different identification is used at the simulation application level.

```
1.  While (1)
2.      if (message needs to be sent) <activate Send DMA>;
3.      if (message needs to be received) <activate Receive DMA>;
4.      if (EBUS DMA not busy)
5.          if (block-DMA not needed AND checkpoint burst needed) ckpt_burst();
6.          if (block-DMA needed AND checkpoint burst not active) <activate block-DMA>;
```

Figure 5: Structure of the Control Program.

4.4 Structure of the Control Program

The control program run by the LANai processor has the responsibility to activate and control the three DMA engines on board of the card. This program must be structured in a way to ensure the Non-Intrusiveness requirement identified in Section 3.2. In this context, Non-Intrusiveness means that communication functionalities must not suffer from the activation of checkpointing functionalities. To achieve this, we have structured the control program as shown in Figure 5. Specifically, any checkpoint operation is split by the control program into a sequence of invocations of the function `ckpt_burst()`. Each invocation has the effect to program the EBUS DMA to transfer up to a maximum amount of bytes, called *burst*, from the LP state vector to the LANai internal memory (intermediate buffering) or from the LANai internal memory to the checkpoint stack of the LP.

Actually, splitting the checkpoint operation into a sequence of bursts avoids keeping the hardware on board of the myrinet card (i.e. the EBUS DMA, the PCI bridge and the LBUS) busy due to checkpointing for excessively long periods ([12]). This feature, together with the lower priority assigned to data transfer associated with checkpointing as compared to block-DMA ([13]), actually permits to respect the Non-Intrusiveness requirement. In [34] we have shown how to identify the maximum value for the burst length, which allows the performance of communication functionalities not to be significantly perturbed by the activation of checkpointing functionalities.

4.5 Implementation of Re-Synchronization

The $\mathcal{CCA}$ semantic for re-synchronization relies on the ability to track the advancement status of an on-going non-blocking checkpoint operation in order to determine whether to commit or abort the operation itself. Given that, due to the Non-Intrusiveness requirement, any checkpoint operation is split by the

[12] Access to the EBUS DMA, the PCI bridge and the LBUS is required for block-DMA operations, which transfer messages incoming from the network into the receive queue. Also, access to the PCI bridge and the LBUS is required for zero-copy sends issued by the application.

[13] EBUS DMA data transfer operations associated with checkpointing functionalities are activated only in case no block-DMA operation is currently required to transfer messages into the receive queue located onto host memory (see line 5 of the control program), thus achieving lower priority of data transfer associated with checkpointing as compared to block-DMA. We have not used preemption on data transfer associated with checkpointing to favor communication since, according to hardware specifications [27], we might incur problems with the PCI protocol.

control program run by the LANai processor into a sequence of EBUS DMA data transfer operations, namely bursts, a straightforward way to track the advancement of any on-going checkpoint operation consists in counting the number of already completed EBUS DMA data transfers (from/to host memory) associated with that operation. To implement this solution we have introduced a counter in the LANai internal memory, namely `completed_transfers`, which is managed as follows. The counter is reset by the function `non_block_ckpt()` upon issuing a checkpoint request at the application level. It is incremented by the control program each time the program becomes aware that an EBUS DMA data transfer (from/to host memory) associated with the checkpoint operation has been completed.

The API includes the re-synchronization function `ckpt_cond_abort(float threshold)`. The parameter `threshold` indicates the completion percentage (i.e. the threshold fraction) of the last activated checkpoint operation, if any, under which the checkpoint operation must be aborted according to the $\mathcal{CCA}$ semantic. To decide whether to abort the checkpoint operation or not, `ckpt_cond_abort()` needs information about both the current value of the `completed_transfers` counter and the total number of EBUS DMA data transfers required for the operation. We maintain the latter information into an additional variable located onto host memory, namely `total_transfers`, visible to the function `ckpt_cond_abort()`, as well as to the function `non_block_ckpt()`.

As soon as the checkpoint operation is issued by the application, the function `non_block_ckpt()` computes the total number of EBUS DMA transfers (from/to host memory) to complete the operation according to the following expression:

$$total\ transfers = 2 \times \lceil \frac{state\ vector\ size}{burst\ length} \rceil \tag{1}$$

where the multiplier factor 2 takes into account the fact that data transfer for checkpointing needs intermediate buffering. The variable `total_transfers` stores the obtained result, making it available to the function `ckpt_cond_abort()`. The function `ckpt_cond_abort()` takes the decision on whether to abort or not the last activated checkpoint operation on the basis of the condition $\frac{\texttt{completed\_transfers}}{\texttt{total\_transfers}} < \texttt{threshold}$. The operation is aborted in case the condition is verified.

Notification of the checkpoint abort decision to the control program run by the LANai processor takes place through a flag, namely `ckpt_abort`, implemented as a word located into the LANai internal memory ([14]), which is managed as follows. The flag is initialized to zero. It is set to one by the function `ckpt_cond_abort()` in case the checkpoint abort decision is taken. It is eventually reset by the control program after it has interrupted EBUS DMA data transfer associated with checkpointing.

Abort of an on-going checkpoint operation cannot take place as a preemption of an in-progress EBUS DMA data transfer since, as already pointed out, hardware specifications [27] indicate that preemption may cause problems to the PCI protocol. Therefore, interruption is implemented by simply avoiding the

[14] We have used a word instead of a single byte for the flag `ckpt_abort` since the LANai 4 chip internal circuitry is optimized for aligned words access. Also, the host CPU accesses an aligned word using a single bus cycle, as it would be for the case of a single byte.

activation of additional EBUS DMA transfers related to that checkpoint operation.

As a last point, if the checkpoint abort condition (i.e. $\frac{\texttt{completed\_transfers}}{\texttt{total\_transfers}} < \texttt{threshold}$) is not verified, the function `ckpt_cond_abort()` behaves as follows. It returns immediately in case the checkpoint operation has already been completed. Otherwise it spin-locks around a flag `ckpt_completed`, also implemented as a word located in the LANai internal memory, which is managed as follows. It is set to zero by the function `non_block_ckpt()`, upon issuing a checkpoint request. It is eventually set to one by the control program run by the LANai processor as soon as the data transfer associated with the checkpoint request has been completed.

4.5.1 On the Effectiveness of the Checkpoint Advancement Tracking Mechanism

Since the granularity of the checkpoint advancement tracking mechanism previously presented is equal to a whole EBUS DMA data transfer (from/to the host memory), the Minimum Trackable Advancement Percentage (MTAP) of a checkpoint operation depends on the total number of EBUS DMA data transfers, namely bursts, required to complete the operation itself. More precisely, MTAP can be computed as:

$$\text{MTAP} = \frac{1}{total\ transfers} \tag{2}$$

Plugging (1) in (2), we get:

$$\text{MTAP} = \frac{1}{2 \times \lceil \frac{state\ vector\ size}{burst\ length} \rceil} \tag{3}$$

Expression (3) shows the dependency of MTAP on the size of the LP state vector to be checkpointed and on the burst length. To increase the precision of the tracking mechanism one could theoretically reduce the burst length. However, this is not a viable solution since, in order to complete a checkpoint operation within reasonable latency, the burst length should be kept at the maximum value that still allows communication functionalities not to be significantly perturbed by the activation of checkpointing functionalities [34]. To provide the reader with insights on the effects of such a dependency for realistic burst lengths, we show in Figure 6 three different plots for MTAP obtained for burst length set to 512 bytes, 1 Kbyte and 2 Kbytes ([15]), while varying the size of the LP state vector between 1 Kbyte and 10 Kbytes (with step of 1 Kbyte).

The plots show that MTAP is in the order of 0.25 or less except for the case of small state vector size (i.e. about 1 or 2 Kbytes). However, the reduced precision of the tracking mechanism for the case of small size state vectors should not be a problem in practice. Specifically, for small size state vectors, the likelihood for the non-blocking checkpoint operation to be not yet completed when the re-synchronization functionality is activated is expected to be minimal (this is confirmed by results we report in Section 5).

[15] Results we have presented in [34] have shown that the maximum burst length which does not cause significant perturbation of the performance of communication functionalities is expected to be about 1 Kbyte.

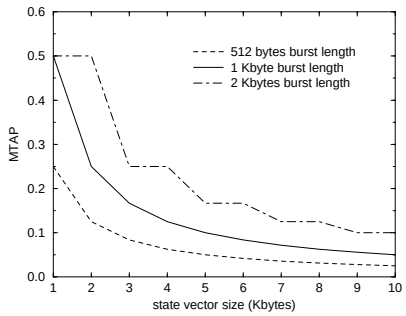


Figure 6: MTAP vs the LP State Vector Size for Different Values of the Burst Length.

Therefore, for small state vector size the $\mathcal{CCA}$ re-synchronization semantic is actually insensitive to the precision of the tracking mechanism, thus allowing the mechanism not to exhibit real ineffectiveness.

5 Experimental Analysis with the PHOLD Benchmark

In this section we report an experimental analysis of non-blocking checkpointing conducted using the PHOLD synthetic benchmark. This study aims at observing the behavior of the non-blocking mode while varying simulation application settings. The PHOLD benchmark, originally presented in [16], consists of a fixed number of LPs and of a constant number of messages (jobs) circulating among the LPs, which is referred to as message population. A message simply triggers the production of a new message with an increased timestamp value. Both the routing of messages among the LPs and the timestamp increments are taken from some stochastic distributions. Although a set of standard benchmarks for parallel discrete-event simulation does not exist, PHOLD is in practice one of the most used ones [1, 2, 33, 35, 38, 43]. Before entering the analysis, we report a description of the testing environment we have used and of the testing methodology employed.

5.1 Testing Environment

The experiments were all performed on a cluster of Pentium II 300 MHz (128 Mbytes RAM - 512 Kbytes second level cache). All the PCs of the cluster run LINUX (kernel version 2.0.32) and are equipped with M2M-PCI32C myrinet cards. On the basis of the methodology we have presented in [34], burst length of 1 Kbyte has been selected for this cluster environment.

The simulation software implements the events as a compound structure with several fields (sender, receiver, timestamp etc.). For the case of PHOLD, this structure has total size 36 bytes and using CCL any message carrying an event is delivered to the recipient within about 20/25 microseconds when no congestion occurs on the switch. The same delay characterizes the transmission of antimessages. Message exchange among LPs hosted by the same machine does not involve operations of the CCL layer. There is an instance of the optimistic simulation engine on each machine. The engine manages the local event list

(consisting in the logical collection of the event lists of the local LPs) and schedules LPs for event execution according to the Smallest-Timestamp-First algorithm [24]. The LPs are implemented as application level threads. Memory space for new entries into the event lists of the LPs is allocated dynamically using classical `malloc()` calls. Therefore there is no pool of pre-allocated buffers. Antimessages are sent according to the aggressive policy [18], i.e. they are sent as soon as an LP rolls back. Fossil collection is executed periodically.

5.2 Testing Methodology

As we have seen in Section 3.5, the $\mathcal{CCA}$ semantic for re-synchronization determines a particular type of checkpointing strategy based on a-posteriori skipping of checkpoints. As respect to this point, we have decided to split the analysis with the PHOLD benchmark in two parts.

In the first one, namely Part A, we study the effects of this strategy with no interference between a-posteriori and a-priori checkpoint skipping. Specifically, the results for non-blocking checkpointing reported in Part A have been obtained by imposing always positive result to the test in line 9 of the simulation engine in Figure 3, so that a non-blocking checkpoint operation is requested after the execution of each simulation event (i.e. no a-priori checkpoint skipping is ever performed). In this part of the study we have initially treated `threshold` (i.e. the argument to the re-synchronization function `ckpt_cond_abort()`), as the independent parameter. Therefore, we have tested the behavior of non-blocking checkpointing assuming a set of different values for `threshold`, manually moving it from one value to another while performing the experiments. Then we have also addressed the issue of run-time adaptive tuning of this parameter.

The second part of the study, namely Part B, is instead devoted to the observation of the effects of combining both a-posteriori and a-priori checkpoint skipping.

Basically, we report measures related to the event rate, that is the number of committed events per time unit, which is representative of the simulation execution speed, and also to the following parameters:

- The frequencies F1 and F2 of checkpoint abort upon the invocation of the re-synchronization function. F1 relates to re-synchronization in line 4 of the optimistic simulation engine in Figure 3, while F2 relates to re-synchronization line 10.

- The average distance (number of events) between two consecutive committed checkpoints of the same LP. This parameter allows us to measure the "density of committed checkpoints" (as respect to the amount of executed events) at the point where the event rate, namely the performance, is maximized.

Additional metrics will be introduced, whenever required, in some parts of the analysis.

We test non-blocking checkpointing against a classical Periodic State Saving (PSS) strategy based on

CPU charged (blocking) checkpointing (see Section 2.2) ([16]). For this strategy, we report both the peak event rate and the corresponding value of the checkpoint interval χ. In our experiments, CPU charged checkpointing is implemented efficiently as a `memcpy()` call that copies the state vector of the LP into the stack of checkpointed states of that LP. As CCL adopts reserved main memory pages for both the LP state vectors and their checkpoint stacks, to ensure fairness in the comparison we have used the same approach for the case of CPU charged checkpointing.

Mean value analysis is employed, and each reported parameter value results as the average over 10 runs, all done with different random seeds. At least 2×10^6 committed events were simulated in each run.

5.3 Part A

5.3.1 Basic Test Case

As a basic test case we have considered a PHOLD benchmark with 32 LPs evenly distributed on 4 machines of the previously described cluster. The message population has been fixed at 1 message per LP and messages are equally likely to be forwarded to any LP, with timestamp increments following an exponential distribution with mean 10 simulation time units.

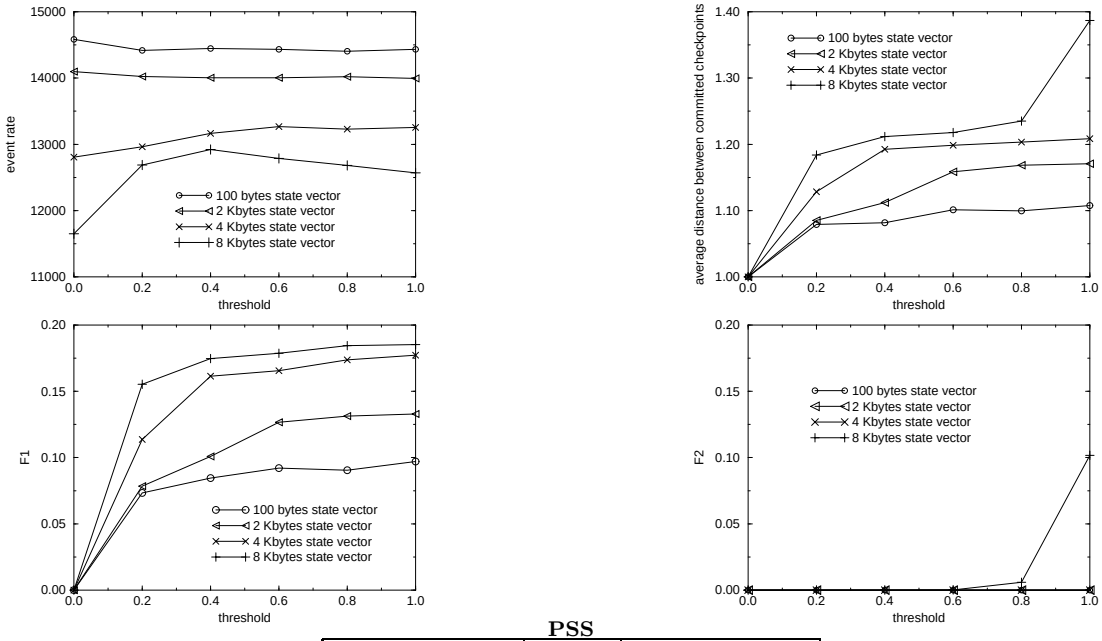
In the experiments we have fixed the event execution time at about 150 microseconds, obtained by structuring the event routine as a simple CPU busy loop [30, 38], which has been selected as an intermediate event granularity value for parallel discrete event simulation applications ([17]). As respect to the LP state vector size, we have varied it between 100 bytes and 8 Kbytes (passing through 2 and 4 Kbytes), so as to cover a relatively large range of values for the state granularity. The results are reported in Figure 7.

The plots for the event rate show that non-blocking checkpointing provides execution speed improvements over PSS (up to 13% for 8 Kbytes state vector size) except for the case of minimal state granularity, i.e. 100 bytes state vector. Such a result is an expected one since minimal state granularity implies negligible overhead in case of CPU charged checkpointing, which can be comparable with the overhead to manage non-blocking checkpointing (e.g. the overhead due to the activation of non-blocking checkpoint operations, which involves data exchange between host and LANai memory through the PCI bridge).

We have noted no relevant difference in the execution efficiency, evaluated as the ratio between the amount of committed events and the total number of executed events (committed plus rolled back), between non-blocking checkpointing and PSS. Therefore the performance gain of non-blocking checkpointing

[16] For some particular simulation problems with regular patterns for the difference between timestamps of successive events, performance improvements over PSS can be achieved by relaxing the constraints that CPU charged checkpoints must be taken on a periodic basis [33]. However, PSS remains, in general, a performance effective strategy.

[17] For simulation applications with very coarse event granularity, the CPU overhead due to checkpointing tends to become a minor issue, thus reducing the impact of optimizations like non-blocking checkpointing. This is the reason why we have considered an intermediate event granularity value in this part of the study. Anyhow, we shall consider later finer event granularity when running simulations of the PCS model.



PSS

State Vector Size	Best χ	Peak Event Rate
100 Bytes	1	14752
2 Kbyte	2	13736
4 Kbytes	3	12474
8 Kbytes	3	11426

Figure 7: Results for the Basic Test Case.

does not derive from reductions of the amount of rollback, i.e. from indirect effects due to changes in the execution mode of the checkpointing protocol. The efficiency values for this experiment are in the order of 85%, with rollback frequency and rollback distance in the order of 12% and 1.25 events, respectively.

Another interesting point is that plots for the event rate assume different shapes depending on the size of the state vector. In particular, plots for 100 bytes and 2 Kbytes state vector size are almost flat. Instead, those for 4 Kbytes and 8 Kbytes state vector size exhibit a clear dependency on `threshold`. Specifically, for 4 Kbytes state vector size the event rate increases from about 12700 to 13300 committed events per sec. while moving `threshold` from 0.0 to 0.6, and then stabilizes. For 8 Kbytes state vector size, the event rate starts from about 11700 committed events per sec. when `threshold` is set to 0.0, and then reaches a peak of about 12900 committed events per sec. when `threshold` is set to 0.4. Notice that after reaching the maximum value, the curve of the event rate does not stay flat as for the case of 4 Kbytes state vector size. By these results the low sensitivity of the execution speed vs `threshold` for the case of relatively small state vectors is an empirical support to the effectiveness of the tracking mechanism for the checkpoint operation advancement described in Section 4.5. As already said, the mechanism exhibits finer granularity for medium/large state vectors, case in which the selection of `threshold`, and thus adequate management of the $\mathcal{CCA}$ re-synchronization semantic, is a critical factor for the final performance.

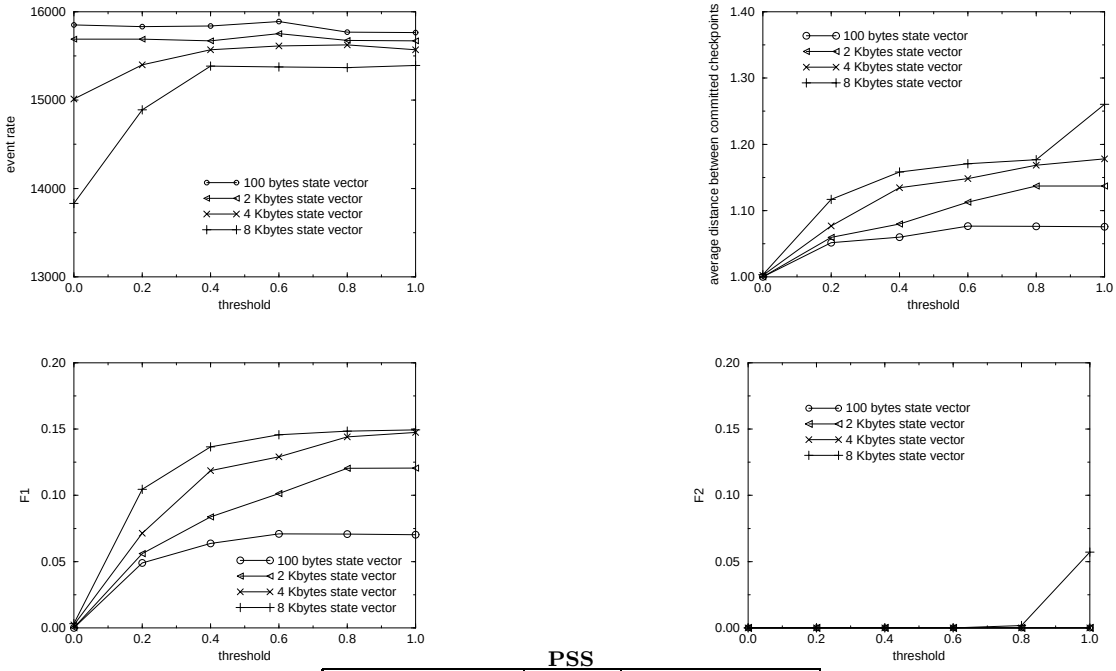
From the values of F1 and F2 reported in Figure 7 we note that, with the exception of 8 Kbytes state

vector size, almost all the checkpoint aborts are due to re-synchronization in line 4 of the simulation engine in Figure 3, i.e. re-synchronization preventing the checkpoint inconsistency problem. We recall that re-synchronization in line 4 is activated only in case *sched_LP* issued the last non-blocking checkpoint request. Therefore, uncommitted checkpoints are mainly due to locality of event occurrences at the same LP over a period of simulation time. Instead, for the case of 8 Kbytes state vector size and `threshold` set to 1.0, some checkpoint aborts are due to the fact that sometimes, but not frequently, CCL is unable to complete the last activated non-blocking checkpoint request before a new request is issued. Given the largeness of the state vector, this was an expected behavior. Anyway F2 remains null, or almost null, for values of `threshold` up to 0.8, therefore we have a clear indication that, in spite of the largeness of the state vector, almost all the work associated with data copy due to checkpointing was actually completed before the activation of a new checkpoint request.

An interesting observation is related to the particular shape of the curve for the average distance between committed checkpoints in case of 8 Kbytes state vector size. In particular, this curve shows two different steps, one for `threshold` set to 0.2, the other one for `threshold` set to 1.0. However, only the first step produces an increase in the event rate due to a decrease in the checkpointing overhead (i.e. the overhead due to freezing of CPU activities). The reason for this is as follows. The plot related to F1 shows that when `threshold` is moved from 0.0 to at least 0.2, we get a non-minimal amount of checkpoint aborts due to re-synchronization in line 4. This allows aborting most of the checkpoint operations associated with calls to `non_block_ckpt()` in line 11 of the simulation engine in case the calling LP is re-scheduled for execution, which produces a strong reduction of the checkpointing overhead since committing those checkpoints would be costly, in terms of freezing of CPU activities, due to their recent activation. At the same time, the second step in the average distance between checkpoints is exclusively due to the increase in the frequency F2 when `threshold` is moved from 0.8 to 1.0, which, unlike the previous case, does not produce relevant decrease in the checkpointing overhead since the additional aborts involve checkpoints with short expected completion latency due to their non-recent activation. Combining this with the monotonic increase of coasting forward cost vs `threshold` (due to the increase in the average distance between committed checkpoints) we get the slight decrease in the event rate noted after the peak in 0.4.

5.3.2 Effects of Increased Workload

In this section we consider a PHOLD configuration similar to the one in Section 5.3.1, but with message population increased to 10 messages per LP. As compared to 1 message per LP, this increased workload actually produces an execution with longer rollback distance (in the order of 2.5 events) occurring with reduced frequency (in the order of 3%). In other words, this configuration allows us to test the effects of variations of the rollback pattern. Note that longer rollback distance means that longer antimessage communication bursts occur during a rollback phase. Therefore this configuration provides indications for communication traffic exhibiting different characteristics. Also in this case we have used 4 machines, with even distribution of the 32 LPs on the machines.



PSS

State Vector Size	Best χ	Peak Event Rate
100 Bytes	1	16133
2 Kbytes	4	15903
4 Kbytes	8	15318
8 Kbytes	10	14631

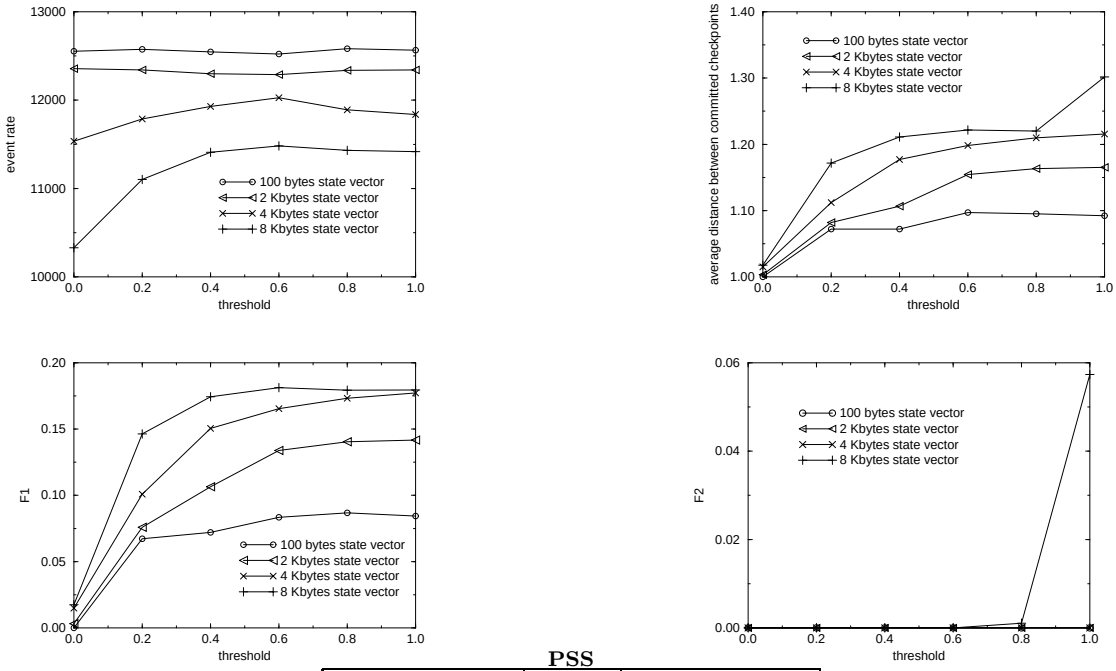
Figure 8: Results for Increased Workload.

The results are reported in Figure 8. They are mostly aligned with those obtained in case of the 1 message per LP workload. There are however some differences. For minimal (100 bytes) state vector size, PSS exhibits a slightly higher gain over non-blocking checkpointing. Also, the performance gain of non-blocking checkpointing for medium/large state vector size is slightly reduced. This is due to the fact that with a reduced rollback frequency, PSS achieves the best performance for a larger value of the checkpoint interval χ, thus allowing a stronger reduction of the CPU overhead due to checkpointing.

As a last observation, differently from the 1 message per LP case, the plot for the event rate stays flat after reaching the maximum value for `threshold` set to 0.4. This is because the increase in the state recovery cost, due to the increase in the average distance between committed checkpoints while moving `threshold` from 0.4 to 1.0 (which produces longer coasting forward), has negligible impact since rollbacks are less frequent.

5.3.3 Effects of Increased System Bus Traffic

The benchmark configurations used in Section 5.3.1 and in Section 5.3.2 are both characterized by event routine implemented as a simple busy loop. In this situation, event execution does not involve main memory access, which does not reduce the system bus bandwidth available for data transfer operations



PSS

State Vector Size	Best χ	Peak Event Rate
100 Bytes	1	12659
2 Kbytes	1	11836
4 Kbytes	2	10947
8 Kbytes	3	10061

Figure 9: Results for Increased System Bus Traffic.

associated with non-blocking checkpointing. To study a different situation in which event execution competes with non-blocking checkpointing for system bus bandwidth, we have considered the same benchmark as the one in Section 5.3.1, with a modified structure of the event routine code. Specifically, we have structured the event routine as a loop that performs `memset()` calls circularly issued on contiguous sets of entries of the state vector of the LP. This originates RAM accesses for (i) memory updating due to write back from the cache each time contention on a cache slot occurs and (ii) cache updating upon write misses. Note that this configuration is actually an unfavorable test case since event execution code exhibits unrealistically low locality due to the fact that a state vector entry is referenced only after all the other entries have been referenced by the event routine software. We set the length of the loop performing `memset()` in order to obtain loop completion within about 150 microseconds when there is no other active user load. As for previous test cases, we have used 4 machines, with even distribution of the LPs on the machines.

The results, reported in Figure 9, confirm the tendencies noted for the case of the experiment in Section 5.3.1. In particular, the gain due to non-blocking checkpointing in case of non-minimal state vector size is confirmed also with this setting. For 8 Kbytes state vector size, this gain is in the order of 12% when `threshold` is set to 0.6. For 4 Kbytes state vector size, it is in the order of 9%, also in this case when `threshold` is set to 0.6.

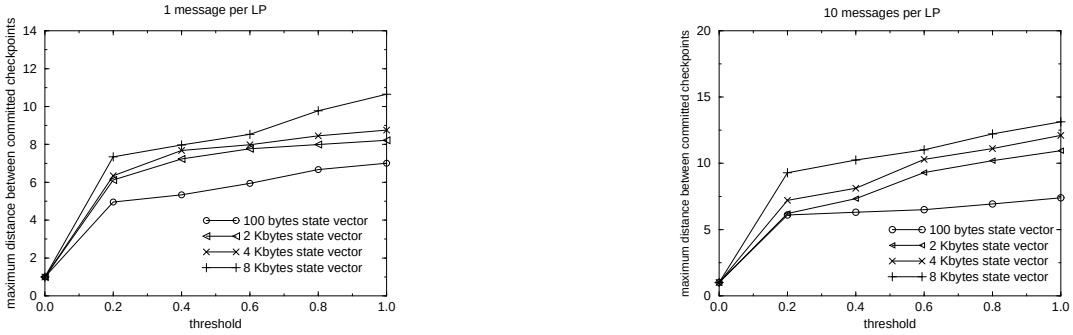


Figure 10: Maximum Distance Between Committed Checkpoints.

5.3.4 Checkpointing vs Rollback Thrashing and Fossil Collection

It is widely known that very long sequences of uncheckpointed events (in the order of several tens of events) are undesirable due to possible effects on rollback increase and to possible interactions with the memory recovery procedures, namely fossil collection [13, 30, 35]. Depending on execution dynamics, a-posteriori checkpoint skipping underlying the $\mathcal{CCA}$ semantic for re-synchronization might theoretically produce on an LP very long sequences of event executions with no committed checkpoint. This might happen especially for large values of `threshold`.

To provide hints on the previous issue, we report in Figure 10 plots related to the maximum observed distance between consecutive committed checkpoints at an LP for the two benchmarks used in Section 5.3.1 and in Section 5.3.2. The plots show that, independently of the value of `threshold`, the maximum distance between committed checkpoints is less than 10 in case of 1 message per LP, and less than 13 in case of 10 messages per LP. This is an indication of the potential of a-posteriori checkpoint skipping not to give rise to pathological situations with excessively long sequences of uncheckpointed event executions. Anyway, embedding simple, additional mechanisms in the simulation engine for safety purposes, in order to avoid the maximum distance between committed checkpoints over-stepping a given bound is actually an easy task and is out of the scope of this study.

5.3.5 Tuning the Value of `threshold`

We have seen how, in some circumstances, the execution speed, namely the event rate, is (almost) independent of the selected values for `threshold`. However, in other circumstances varying the value of `threshold` actually determines strong variations in the execution speed. In general, the identification of a well suited value to be assigned to the parameter `threshold` (i.e. a value that is likely to maximize the execution speed) should be done in an application specific manner. As a consequence `threshold` belongs to the class of the so called *tunable parameters*, whose value should be tuned as the simulation execution proceeds.

From Section 5.3.1 to Section 5.3.4 we have treated `threshold` as a global parameter ([18]). We

[18] In our context "global" means that its value is the same for all the LPs.

improve our perspective in this section by associating with each LP_j a proper value for `threshold`, namely $\texttt{threshold}_j$, thus allowing the LPs to achieve good tradeoff between checkpointing overhead (due to freezing of CPU activities associated with committed checkpoints of that LP) and coasting forward overhead (due to uncommitment of checkpoints of that LP) independently of each other.

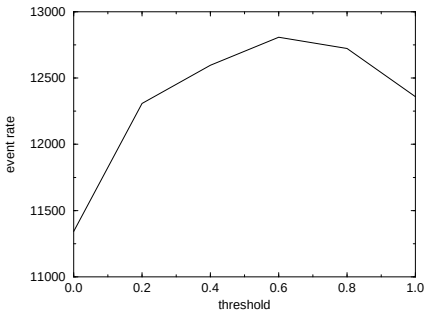
Actually, the algorithm presented in [13] for the adaptive selection of the checkpoint interval χ in a PSS strategy, and then re-used in [31] for the adaptive selection of a threshold value of the simulation time advancement in order to determine the positions of checkpoints on the basis of the event execution pattern in simulation time, can be re-used also to perform adaptive tuning of the parameter $\texttt{threshold}_j$ in the $\mathcal{CCA}$ semantic. That algorithm is based on the on-line observation of a checkpointing/recovery cost function for each LP_j, namely $F_j = C_j^{ckpt} + C_j^{cf}$, where C_j^{ckpt} and C_j^{cf} represent, respectively, the overheads due to checkpointing and coasting forward for LP_j. Specifically, in the original solution [13], the function F_j is monitored over successive observation periods and the checkpoint interval χ is increased/decreased on the basis of the monitored variations.

In our context, the algorithm should work as follows. At the first observation period $\texttt{threshold}_j$ is set to zero; in the successive observation periods $\texttt{threshold}_j$ is increased by a quantity ϵ (say 0.1) if F_j did not increase. Otherwise the adaptation direction of $\texttt{threshold}_j$ is inverted ($\texttt{threshold}_j$ is decreased by ϵ). So, the idea underlying the recalculation is to avoid as much freezing overhead as possible (by increasing $\texttt{threshold}_j$, which leads to the commitment of only those checkpoints of LP_j with reduced expected completion latency) until the overhead reduction is over-stepped by the increasing coasting forward cost caused by uncommitment of checkpoints.

To test adaptive tuning we have used a modification of the PHOLD benchmark used in Section 5.3.1. In this test case, there are 4 hot spot LPs to which the 30% of all the messages are routed. The hot spot LPs change in the course of the simulation according to a sequence of changes defined prior to the simulation execution by randomly picking up new hot spots among all the 32 LPs, with the constraint that at least one hot spot LP per each of the 4 used machines is ensured. This configuration possibly gives rise to simulations which do not reach steady state of the rollback behavior of the LPs. Also, different rollback patterns might arise on different LPs thus yielding a situation in which different `threshold` selections might actually provide benefits. We have selected 8 Kbytes as the state vector size in order to provide results for a large state granularity application, instance in which performance benefits due to adequate tuning of the parameter `threshold` should be more evident ([19]).

We report in Figure 11 the event rate vs the value of `threshold` for manual selection (in this case the same value is adopted for all the LPs), and also the event rate achieved with the previously described adaptive tuning. The results point out that adaptive tuning performs slightly better than manual selection, which supports the feasibility of re-using the algorithm in [13] for solving the tuning problem.

[19] If the sensitivity of the execution speed vs `threshold` were relatively low, as for the case of small/medium state granularity, it would be difficult to provide hints on the effectiveness of an adaptive tuning of `threshold` itself.



Event Rate with Adaptive Tuning of `threshold`
13038 committed events per sec.

Figure 11: Static vs Adaptive Tuning of `threshold`.

5.4 Part B

This part of the study is devoted to the observation of the effects of combined use of a-posteriori and a-priori checkpoint skipping when the non-blocking execution mode of the checkpointing protocol is used. In this section we use the benchmark of Section 5.3.1 as the test case (always run on 4 machines), and we report plots for the event rate vs the parameter `threshold`, while adopting a set of different values for the checkpoint interval χ of the LPs. These plots allow us to observe possible variations in the execution speed in case a checkpoint interval larger than 1 is adopted, i.e. in case non-blocking checkpointing is used in combination with a classical periodic strategy for placing checkpoints. Also in this case we have fixed the LP state vector size at 8 Kbytes since models with small state vector size typically exhibit the best execution performance when the checkpoint interval is set to 1, i.e. when no a-priori checkpoint skipping is used at all. Therefore, fine state vector granularity would not allow us to understand whether combined use of a-posteriori and a-priori checkpoint skipping might really yield execution speed improvements.

The plots for the event rate, reported in Figure 12 show that the best performance is achieved when using a checkpoint interval set to 2, with an event rate value of about 13000 committed events per sec. Note however that the configuration with checkpoint interval set to 1, i.e. with no a-priori checkpoint skipping, achieves almost the same execution speed as the configuration with checkpoint interval set to 2. Specifically, it achieves an event rate of about 12900 committed events per sec. when `threshold` is set to 0.4. Larger values of the checkpoint interval produce worse event rate values. Overall, the use of pure a-posteriori skipping (i.e. checkpoint interval set to 1) exhibits performance very close to the best one achievable with combined use of a-priori and a-posteriori skipping. Similar results have been obtained for the benchmarks of Section 5.3.2 and of Section 5.3.3. This points out the effectiveness of a-posteriori checkpoint skipping even if used alone.

A final interesting point is related to the shape of the event rate curves. For checkpoint interval set to 2, the event rate does not vary while moving `threshold` from 0.0 to 1.0. A similar behavior is noted for checkpoint interval set to 3 and `threshold` in the interval between 0.0 and 0.8. Instead, for any other value of the checkpoint interval, the event rate curve exhibits a clear dependency on the value of `threshold`. Specifically, for checkpoint interval set to 4 or 5, the event rate monotonically decreases while increasing `threshold`. On the other hand, for checkpoint interval set to 1, the event rate exhibits the

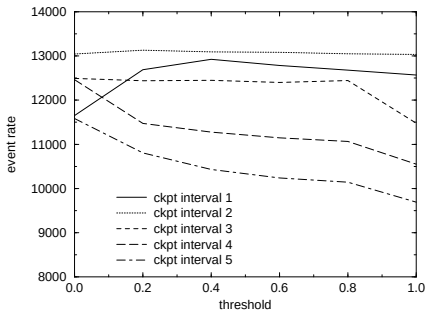


Figure 12: Event Rate vs `threshold` for Different Checkpoint Intervals.

peak value for an intermediate value of `threshold`. Overall, the only configuration that takes advantage while adopting adequate values for `threshold` is the one with checkpoint interval set to 1. We explain this behavior as follows. When a checkpoint interval larger than 1 is used, skipping some checkpoints due to checkpoint abort does not produce strong reduction in the checkpointing overhead since fewer activations of the checkpointing protocol occur within a given execution time period. On the other hand, it might produce a non-minimal increase in the distance between committed checkpoints at the same LP, with consequent non-minimal increase of the expected state recovery overhead due to non-minimal increase in the expected coasting forward length. The two overheads get balanced for relatively small checkpoint intervals (i.e. 2 or 3). Instead, the increased cost of rollback due to checkpoint aborts dominates when larger checkpoint intervals are adopted.

6 Results for a Real World Model

In this section we report results demonstrating the benefits from non-blocking checkpointing for optimistic parallel simulations of a PCS model. As a testing environment we have used the same one described in Section 5.1, with the only difference that a larger number of machines, namely 8 machines, has been used. Also, similarly to what was done in Section 5, PSS has been used as the reference checkpointing technique based on blocking (CPU charged) execution of the checkpointing protocol.

A PCS provides communication services to mobile units. In our simulation model the service area is partitioned into cells, each of which modeled by a distinct LP. Each cell represents a receiver/transmitter having either some fixed number of channels allocated to it (Fixed-Channel-Assignment, namely FCA) or a number of channels dynamically assigned to it (Dynamic-Channel-Assignment, namely DCA). In this paper we consider an FCA model with 100 channels per cell. The model is call-initiated [10] since it only simulates the behavior of a mobile unit during conversation (i.e. the movement of a mobile unit is not tracked unless the unit itself is in conversation). Therefore, the model is organized around two entities, namely cells and calls. Call requests arrive to each cell according to an exponential distribution [5, 8, 10] with inter-arrival time 2 seconds. All the calls initiated within a given cell are originated by the LP associated with that cell, therefore no external call generator is used. There are three main types of

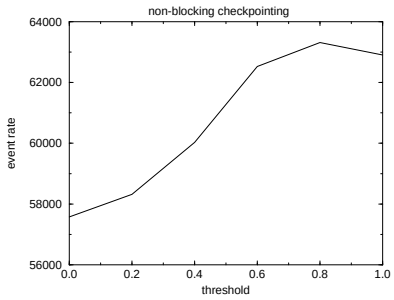


Figure 13: Event Rate vs `threshold` for Non-Blocking Checkpointing.

events, namely hand-off (due to mobile unit cell switch), call termination and call arrival. When a call arrives at a cell, channel availability must be determined. If all channels are busy, the incoming call is simply counted as a "block". If at least one channel is available, then channel assignment for the new call takes place. A call termination simply involves the release of the associated channel and statistics update.

Hand-off takes place each time a mobile unit currently involved in conversation moves from one cell to another. In our model there are two distinct classes of mobile units. Both of them are characterized by a residence time within a cell which follows an exponential distribution, with mean 5 minutes (fast movement units) and 40 minutes (slow movement units), respectively. The average holding time for each call associated with both fast and slow movement units is 2 minutes. When a call arrives at a cell, the type (slow or fast) of the mobile unit associated with the incoming call is selected from a uniform distribution, therefore any call is equally likely to be destined to a fast or a slow movement mobile unit. When a hand-off occurs between adjacent cells, the hand-off event at the cell left by the mobile simply involves the release of the channel. Instead, the hand-off event at the destination cell checks for channel availability. If there is no available channel, the call is simply cut off (dropped), otherwise an available channel is assigned to the call. Hand-off events for destination cells are not pre-computed, i.e. they are scheduled only upon the occurrence of the hand-off event at the cell left by the mobile unit.

The state vector of an LP records statistics, information about busy channels and, for each channel, information about features of the mobile unit involved in the on-going call (e.g. scheduled call termination time, call initiation time, class of the mobile unit etc.), if any. As a result, the size of the state vector is about 4Kbytes ([20]). Also, the event granularity for this model is in the order of 15/20 microseconds. We have simulated a PCS in which each cell is hexagonal, therefore all the cells, except bordering cells of the coverage area, have six neighbor cells. The model size, in terms of number of cells, has been fixed at 64. The corresponding 64 LPs have been evenly distributed among the 8 machines of the cluster.

We report in Figure 13 the event rate vs `threshold` when adopting non-blocking checkpointing in

[20]PCS models might exhibit smaller LP state granularity [8, 10]. This might happen when information maintained in the LP state vector are almost exclusively related to the current state of the channels within the associated cell, or when the number of channels per cell is small.

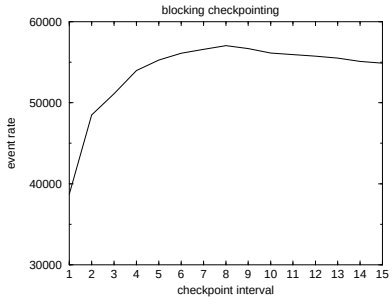


Figure 14: Event Rate vs the Checkpoint Interval χ for Blocking Checkpointing.

combination with no a-priori checkpoint skipping. For this experiment we have considered `threshold` as a global parameter. We also report in Figure 14 the event rate vs the checkpoint interval χ of the LPs when adopting the blocking (CPU charged) execution mode of the checkpointing protocol. The plots indicate that non-blocking checkpointing allows a significant acceleration of the simulation model execution. In particular, the best event rate of non-blocking checkpointing is about 63000 events per sec., achieved when `threshold` is set to 0.8, while the best event rate with blocking checkpointing is about 57000 events per sec., achieved when the checkpoint interval χ is set to 8. Thus non-blocking checkpointing provides a performance gain in the order of 11%. As a last remark we would like to bring to the reader's attention, non-blocking checkpointing provides good performance independently of the selected value for the parameter `threshold`. Specifically, the minimum observed event rate is about 57500 committed events per sec., achieved when `threshold` is set to 0.0, which is even slightly better than the best event rate achievable with blocking checkpointing.

7 Summary

In this paper we have presented non-blocking checkpointing as an innovative mode to record state information in support of optimistic parallel discrete event simulation. This mode exhibits the potential to eliminate the cost of taking checkpoints of the LP state vector from the completion time of the parallel simulation application. We have also presented a C library implementing the non-blocking mode on myrinet clusters. An extensive performance study on both synthetic benchmarks and a real world simulation application has shown that non-blocking checkpointing increases the execution speed of the simulation application, as compared to optimized methods based on classical blocking checkpointing, in the order of up to 10/15%, with the larger gains achieved for non-minimal LP state vector size, case in which improvements in the execution mode of the checkpointing protocol are auspicious.

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